Medians of permutations : building constraints \star

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Abstract. Given a set $A \subseteq S_n$ of m permutations of [n] and a distance function d, the **median** problem consists of finding a permutation π^* that is the "closest" of the m given permutations. Here, we study the problem under the Kendall- τ distance which counts the number of pairwise disagreements between permutations. This problem has been proved to be NP-hard when $m \geq 4$, m even. In this article, we investigate new theoretical properties of A that will solve the relative order between pairs of elements in median permutations of A , thus drastically reducing the search space of the problem.

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Appendix

Proof of Proposition ??: Let A be the following set of permutations of $[n]$:

$$
\mathcal{A} = \{\sigma_1, \sigma_2, \ldots, \sigma_a, \gamma, \pi_1, \pi_2, \ldots, \pi_a\},\
$$

where each σ_i , $1 \leq i \leq a$, is a different permutation beginning with element 1 followed by element 2; each π_i , $1 \leq i \leq a$, is a different permutation ending with element 1 followed by element 2, and γ is a permutation beginning by element 2 and ending by element 1 (in γ elements 1 and 2 are thus separated by a set K of k elements). Note: $n = k + 2$.

So, in 2a permutations, element 1 is before element 2, and in only one, element 2 is before element 1. Even if the majority order for this pair of elements is 1 before 2, we can show that for an arbitrary a, if $k > 2a - 1$ then the median of A will be of the form $2K1$, more precisely the median will be $2\alpha1$ where 2 is before 1 and where α is the optimal permutation of the elements of set K (i.e. $\pi^* = 2\alpha 1$ is a median of A). The idea is that the "pressure" of the set K on elements 1 and 2 will be stronger than the interaction in between 1 and 2. We then choose a minimal a such that $\frac{2a}{2a+1} \geq s$ and $k = 2a$ to complete the construction.

First, we observe that the cost of every element of K is identical in relation to 1 and 2 simply because the elements of K are always grouped together in the permutations of A. So, in a certain permutation of A, if an element $x \in K$ is on the left (resp. on the right) of 1 then all other elements of K will be on the left (resp. on the right) of 1. It goes the same way for the left/right of 2 and it applies in all permutations of A.

Second, we can state that there exist at least one optimal arrangement for the elements of K based on the order they were placed in the permutations of \mathcal{A} . Let α be any optimal arrangement for the elements of K and C_{α} , its associated cost.

The two previous points are leading to this observation: a median permutation of A will have the elements of K arranged following α . This is because of the fact that if a supposed median permutation have the elements of K arranged in an non-optimal way, we could make a bunch of swaps between those elements of K , omitting 1 and 2, so that their relative order will become an optimal arrangement, thus lowering the cost of the permutation.

Now, a median of A can either be of the form $K_1 1K_2 2K_3$ or of the form $K_1 2K_2 1K_3$, where the K_i are possibly empty subsets of K, such that $\bigcup_{i=1}^3 K_i =$ $K, K_i \bigcap K_j = \emptyset$ if $i \neq j, |K_i| = k_i$ and $k_1 + k_2 + k_3 = k$. The order of the elements of K is known and follows α , so the only variables to be set are the numbers of elements to be placed in K_1, K_2 and K_3 . In other words, we have α and we need to place in 1 and 2 in an optimal way to attain the median.

If our median is of the form $K_1 1K_2 2K_3$ we have

$$
d_{KT}(K_1 1K_2 2K_3, \mathcal{A}) = \underbrace{\overbrace{a(2k_1 + k_2)}^{1} + \overbrace{a(k_2 + 2k_3)}^{2}}_{= 2ak + 1 + k_1 + 2k_2 + k_3 + C_{\alpha}}, \underbrace{\overbrace{a(2k_1 + k_2 + 2k_3)}^{3}}_{= 2ak + 1 + k_1 + 2k_2 + k_3 + C_{\alpha}},
$$

where terms 1, 2 and 3 capture the distance with respectively the permutations σ_i , $1 \leq i \leq a$, the permutations π_i , $1 \leq i \leq a$, and the permutation γ of A, for the pairs $(1, x)$, $(2, x)$ and $(1, 2)$, $x \in K$. The cost for the pairs (x_1, x_2) , $x_1, x_2 \in K$, is counted in C_{α} .

Following the same logic, if our median is of the form $K_1 2K_2 1K_3$, we have

$$
d_{KT}(K_1 2K_2 1K_3, \mathcal{A}) = a(2k_1 + k_2 + 1) + a(k_2 + 2k_3 + 1) + 1(k_1 + k_3) + C_{\alpha}
$$

= 2ak + 2a + k_1 + k_3 + C_{\alpha}.

If we try to minimize $d_{KT}(K_1 1K_2 2K_3, \mathcal{A})$, we will have to put all the k elements of K in either K_1 or K_3 because putting them in K_2 will have a doubled penalty for the cost. Doing so, the minimal cost for $K_1 1K_2 2K_3$ is $2ak+1+k+C_\alpha$. Now, if we try to minimize $d_{KT}(K_1 2K_2 1K_3, \mathcal{A})$, we will have to put all the k elements of K in K_2 because putting them in either K_1 or K_3 will give a penalty whereas K_2 is "silent" in the cost function. So, the minimal cost for $K_1 2K_2 1K_3$ is $2ak + 2a + C_{\alpha}$. Since it is stated in the proposition that $k > 2a - 1$, the minimal cost of $K_1 1K_2 2K_3$ is strictly greater that the minimal cost of $K_1 2K_2 1K_3$ $(2ak + 1 + k + C_{\alpha} > 2ak + 2a + C_{\alpha}).$

This gives us that median permutations π^* of A are of the general form $K_1 2K_2 1K_3$, where K_1 and K_3 are empty. Thus π^* is of the form $2K1$ or more precisely $\pi^* = 2\alpha 1$, where α be any optimal arrangement for the elements of K with respect to A . Element 2 is favored to element 1 in the median, regardless of the big proportion of permutations of A favoring 1 to 2, which finish the proof of non-existence of a %-majority bound.

Other tables:

Table 1: Efficiency of the Major Order theorem 1.0 on sets of uniformly distributed random permutations, from $n = 8$ to $n = 100$, $m = 3$ to $m = 50$, statistics generated over 100 000 instances for smaller n to 2000 instances for bigger n (see Table [4\)](#page-3-0)

Table 2: Efficiency of the Major Order theorem 2.0 on sets of uniformly distributed random permutations, from $n = 8$ to $n = 100$, $m = 3$ to $m = 50$, statistics generated over 100 000 instances for smaller n to 2000 instances for bigger n (see Table [4\)](#page-3-0)

$m\setminus$	8	10	15	20	25	30	40	50	60	80	100
3	0.6292 0.5784 0.4981 0.4513 0.4194 0.3962 0.3651 0.3462 0.3324 0.3139 0.3020										
4	$[0.5652] 0.5333] 0.4711] 0.4265] 0.3923] 0.3661] 0.3268] 0.3003] 0.2801] 0.2504] 0.2320$										
5	0.5966 0.5469 0.4648 0.4131 0.3761 0.3479 0.3073 0.2781 0.2555 0.2232 0.2033										
10	$ 0.5730 0.5253 0.4435 0.3886 0.3493 0.3193 0.2749 0.2439 0.2197 0.1878 0.1656$										
15	$[0.5929]0.5377]0.4453]0.3863]0.3448]0.3129]0.2679]0.2361]0.2122]0.1791]0.1561$										
20	$(0.5820)0.5310)0.4415(0.3832)0.3415(0.3097)0.2647(0.2323)0.2089(0.1750)0.1523$										
25	$(0.5979)0.5396$ $(0.4444)0.3842$ $(0.3415)0.3099$ $(0.2625)0.2317$ $(0.2074)0.1736$ (0.1500)										
30	l0.5878l0.5349l0.4426l0.3828l0.3403l0.3082l0.2616l0.2293l0.2055l0.1715l0.1498										
35	0.5996 0.5419 0.4455 0.3843 0.3407 0.3083 0.2612 0.2285 0.2050 0.1711 0.1481										
40	l0.5922l0.5374l0.4439l0.3828l0.3402l0.3070l0.2603l0.2288l0.2038l0.1707l0.1483										
45	$(0.6016)0.5435 0.4461 0.3848 0.3405 0.3084 0.2615 0.2278 0.2038 0.1708 0.1472$										
50	$[0.5956 0.5395 0.4440 0.3833 0.3402 0.3072 0.2600 0.2284 0.2037 0.1700 0.1467]$										

Table 3: Efficiency of the Major Order theorem 3.0 on sets of uniformly distributed random permutations, from $n = 8$ to $n = 100$, $m = 3$ to $m = 50$, statistics generated over 100 000 instances for smaller n to 2000 instances for bigger n (see Table [4\)](#page-3-0)

$m \backslash n$	8	10	15	20	25	30	40	50	60	80	100
3	\parallel 0.7642 \parallel 0.7179 \parallel 0.6345 \parallel 0.5792 \parallel 0.5378 \parallel 0.5059 \parallel 0.4608 \parallel 0.4312 \parallel 0.4086 \parallel 0.3775 \parallel 0.3563 \parallel										
4	$[0.6010] 0.5757] 0.5201] 0.4761] 0.4406] 0.4127] 0.3700] 0.3404] 0.3176] 0.2833] 0.2612]$										
5	$[0.7381]0.6853]0.5813]0.5046]0.4470]0.4038]0.3456]0.3073]0.2793]0.2413]0.2191$										
10	$[0.6440]0.6019]0.5173]0.4515]0.4012]0.3617]0.3040]0.2649]0.2354]0.1979]0.1726$										
15	$[0.7392]0.6732]0.5445]0.4581]0.3987]0.3544]0.2946]0.2543]0.2254]0.1869]0.1612]$										
20	$[0.6739]0.6262]0.5248]0.4484]0.3917]0.3486]0.2896]0.2491]0.2209]0.1819]0.1566]$										
25	ll0.7463l0.6774l0.5440l0.4557l0.3939l0.3497l0.2867l0.2480l0.2186l0.1800l0.1540l										
30	$(0.6899 0.6391 0.5307 0.4496 0.3904 0.3464 0.2852 0.2448 0.2164 0.1775 0.1536)$										
35	$[0.7490]0.6804]0.5467]0.4563]0.3928]0.3474]0.2846]0.2438]0.2156]0.1770]0.1517]$										
40	$[0.7008]0.6473]0.5349]0.4505]0.3905]0.3448]0.2833]0.2438]0.2142]0.1764]0.1518$										
45	$(0.7522)0.6836(0.5484)0.4570(0.3924)0.3473(0.2847)0.2427(0.2141)0.1764(0.1507)$										
50	$[0.7095 0.6533 0.5367 0.4518 0.3908 0.3450 0.2827 0.2431 0.2138 0.1755 0.1501]$										

Table 4: Number of instances generated and calculated for each couple $m \backslash n$ (depending only on n)

